10/582399 AP3 Rec'd PCT/PTO 12 JUN 2008

Docket No.

292102US8X PCT

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Anthony ROBERTS, et al. IN RE APPLICATION OF:

SERIAL NO: New U.S PCT Application Based on PCT/EP04/11212

GAU:

FILED:

Hereewith

**EXAMINER:** 

FOR:

A COMPOSITION COMPRISING A LIQUID CRYSTAL MATERIAL AND AN ADDITIVE

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### **CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Bradley D. Lytle

Registration No. 40,073

Customer Number 22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

Surinder Sachar

Registration No. 34,423

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Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE			ATTY DOCKET NO. 292102US8X PCT		SERIAL NO.  New U.S PCT Application  Based on PCT/EP04/11212			
				APPLICANT				
LIST OF REFERENCES CITED BY APPLICANT				Anthony ROBERTS, et al.				
				FILING DATE Herewith		GROUP		
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SS SUB FILING DATE CLASS IF APPROPRIATE		
	AA	3 656 834	4/18/1972	HALLER, Ivan et al.				
	AB	3 848 966	11/19/1974	SMITH, George W. et al.				
	AC	4 357 374	11/2/1982	OGAWA, Shoichi				
	AD							
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		NUMBER	DATE	COUNTRY		YES NO		
	AQ	1 197 791	4/17/2002	EP			NO	
	AP	1 413 620	4/28/2004	EP			NO	
	AQ	0 681 020	11/8/1995	EP			NO	
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		OTHER RE	FERENCES (	Including Author, Title, Date, Pertinent	t Pages, e	tc.)		
JACQ, PHILIPPE et al., "Preliminary Communication Chiral butadiene-tricarbonyliron liquid crystal complexes: racemates								
	AW	and enantiomers", Liquid Crystals, Vol. 21, No. 2, Pages 291-293, 1996.						
	AX	BARMATOV, E.B. et al., "Induction of the cholesteric mesophase in hydrogen-bonded blends of polymers with a low molecular mass chiral dopant", Liquid Crystals, Vol. 26, No. 4, Pages 581-587, 1999.						
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	Takeda, M. et al., "Synthesis and properties of trifluoromethylated chiral							
No. 20, Pages 5199-5209. 1995. (English abstract only)						additional References sheet(s) attached		
Examiner					Date Considered			
*Examiner: In conformance	itial if r	reference is considered, ot considered. Include co	whether or no	t citation is in conformance with MPEP 60 with next communication to applicant.	09; Draw li	ne through	citation if not in	